

As a below name that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

## NOVEL STRAINS OF THE BACILLUS SUBTILIS GROUP FOR FOOD FERMENTATION

	amendment(s) filed onon March 30, 2001 as Application No.		licable) (declarati	ion not acco	mpanying application)		
I hereby state that I have reviewed amendment referred to above.	d and understand the contents of the	above identified applica	ation, includi	ng the clain	ns, as amended by any		
I acknowledge the duty to disclose § 1.56.	information known to me to be mate	erial to patentability as de	efined in Titl	e 37, Code (	of Federal Regulations		
	nefits under Title 35, United States C so identified below any foreign applic s claimed:						
EARLIEST FOREIGN	APPLICATION(S), IF ANY, FILEI	D PRIOR TO THE FILIN	IG DATE OF	THE APPI	LICATION		
APPLICATION NUMBER	COUNTRY	DATE OF FILI (day, month, ye		PRIO	RITY CLAIMED		
98118659.6	European Patent Office	02 October 19	998	⊠ YES	□ NO		
				☐ YES	□ NO		
				☐ YES	□ NO		
hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.							
PROVISIONAL APP	PLICATION NUMBER		FILING	DATE			
			· · · · · · · · · · · · · · · · · · ·				
of each of the claims of this applica 35, United States Code § 112, I ack	le 35, United States Code, § 120 of any tion is not disclosed in the prior Unite nowledge the duty to disclose informa 6 which became available between the	ed States application in the ation known to me which	e manner pro is material to	vided by the patentability	first paragraph of Title y as defined in Title 37,		
NON-PROVISIONAL APPLICATION NO.	FILING DATE	PATENTED	STAT PEND		ABANDONED		
PCT/EP99/06818	September 15, 1999	PATENTED	YEND		ABANDONED		

<sup>\*</sup> for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

	FULL NAME	LAST NAME	FIRST NAME	MIDDLE NAME		
2	OF INVENTOR	MOLLET	BEAT			
۱ -	RESIDENCE &	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP		
0	CITIZENSHIP	<b>T</b>				
,		Lausanne	Switzerland	Switzerland STATE OR COUNTRY   ZIP CODE		
POST OFFICE						
1	ADDRESS	Av. de l'Esplanade 9	Lausanne	Switzerland 1012		
		SIGNATURE OF BEAT MOLLET		DATE		
		7300	DeD.	April 17 14.2001		
	FULL NAME	LAST NAME	FIRST NAME	MIDDLE NAME		
2	OF INVENTOR	PRIDMORE	RAYMOND	DAVID		
	RESIDENCE &	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP		
)	CITIZENSHIP	Lausanne	Switzerland	Great Britain		
	POST OFFICE	STREET	CITY	STATE OR COUNTRY ZIP CODE		
	ADDRESS	126 manta du Tanat Wana alian	I angama 26	Switzenland 1000		
	ADDRESS	126 route du Jorat, Vers-chez- les-Blanc	Lausanne 26	Switzerland 1000		
ı		SIGNATURE OF RAYMOND DAY	I /ID PRIDMORE	DATE		
		D. Produco		April 17. 2001		
	FULL NAME	LAST NAME	FIRST NAME	MIDDLE NAME		
	OF INVENTOR	ZWAHLEN	MARIE	CAMILLE		
- 1	RESIDENCE &	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP		
	CITIZENSHIP	Lausanne Switzerland Swi		Switzerland		
ľ	POST OFFICE	STREET	CITY	STATE OR COUNTRY ZIP CODE		
	ADDRESS	Av. de France 12	Lausanne	Switzerland 1004		
L		SIGNATURE OF MARIE CAMILI		DATE		
		McDesh	Dec.	April 17.200		
	FULL NAME	LAST NAMÉ	FIRST NAME	MIDDLE NAME		
	OF INVENTOR					
	RESIDENCE &	СІТУ	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP		
	CITIZENSHIP		1			
ł	POST OFFICE	STREET	CITY	STATE OR COUNTRY ZIP CODE		
J						
Į	ADDRESS	SIGNATURE OF INVENTOR 204		DATE		
l				DATE		
 			FIRST NAME	DATE		
 	ADDRESS	SIGNATURE OF INVENTOR 204	FIRST NAME			
   	ADDRESS  FULL NAME OF INVENTOR	SIGNATURE OF INVENTOR 204	FIRST NAME STATE OR FOREIGN COUNTRY			
	ADDRESS  FULL NAME OF INVENTOR RESIDENCE &	SIGNATURE OF INVENTOR 204		MIDDLE NAME		
	FULL NAME OF INVENTOR RESIDENCE & CITIZENSHIP	SIGNATURE OF INVENTOR 204		MIDDLE NAME		
	FULL NAME OF INVENTOR RESIDENCE & CITIZENSHIP POST OFFICE	SIGNATURE OF INVENTOR 204  LAST NAME  CITY	STATE OR FOREIGN COUNTRY	MIDDLE NAME  COUNTRY OF CITIZENSHIP		
	FULL NAME OF INVENTOR RESIDENCE & CITIZENSHIP	SIGNATURE OF INVENTOR 204  LAST NAME  CITY	STATE OR FOREIGN COUNTRY	MIDDLE NAME  COUNTRY OF CITIZENSHIP		



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	App	lication	of:	Beat	MO	LLET	et et	al.
-------	-----	----------	-----	------	----	------	-------	-----

Application No.:

Group Art Unit:

Filing Date: March 30, 2001

Examiner:

For:

NOVEL STRAINS OF THE BACILLUS

SUBTILIS GROUP FOR FOOD

**FERMENTATION** 

Attorney Docket No.: 88265-4011

## POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Allan A. Fanucci of Winston & Strawn, whose address is 200 Park Avenue, New York, NY 10166-4193 as its attorney to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71. Please direct all correspondence for this application to the appointed attorney.

An ass	An assignment of the entire interest in the above-identified subject application:				
	was recorded on at reel/frame/				
$\boxtimes$	is submitted herewith for recording.				
ASSIGNEE:	NESTEC S.A.				
Signature:	ture: Abric 13, 2001				
Date of Signa					
Typed Name:	Roman Vuille				
Position/Title	: Assistant Vice President				
Address:	Avenue Nestlé 55				
	1800 Vevey				
	Switzerland				

COPY

## **ASSIGNMENT**

WHEREAS, We,

**Beat MOLLET**, a citizen of Switzerland residing at Av. de l'Esplanade 9, 1012 Lausanne, Switzerland;

Raymond David PRIDMORE, a citizen of Great Britain residing at 126 route du Jorat, Vers-chez-les-Blanc, 1000 Lausanne 26, Switzerland;

Marie Camille ZWAHLEN, a citizen of Switzerland residing at Av. de France 12, 1004 Lausanne, Switzerland

ASSIGNORS, are the inventors of the invention in NOVEL STRAINS OF THE BACILLUS SUBTILIS GROUP FOR FOOD FERMENTATION, for which we have executed an application for a Patent of the United States

$\boxtimes$	which is executed on even date herewith
$\boxtimes$	which is identified by Winston & Strawn Docket No. 88265-4011
$\boxtimes$	which was filed on March 30, 2001 as Application No.

WHEREAS, **NESTEC S.A.**, a Swiss corporate body having a place of business at Avenue Nestlé 55, 1800 Vevey, Switzerland, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention.

IN WITNESS WHEREOF, the undersigned inventors have affixed their signatures.

1-	4.04	. 2001		13H	2000.
Date				Beat MOLLI	<b>ET</b>
	On this _		day of		_, 2001, before me appeared Beat
MOLI	LET, to m	e known and	known to me	to be the persor	of that name, who signed the
foregoi	ing instrur	nent, and ack	nowledged th	e same to be his	her free act and deed.
17.	٥٤.	2001			FA
Date				Witness	
17	<u> </u>	2001		F.C	ndurere.
	. O	2001	<del></del>		
Date				Kaymond Da	vid PRIDMORE
	On this _		day of		, 2001, before me appeared
Raymo	ond David	I PRIDMOR	E, to me kno	wn and known t	o me to be the person of that
name,	who signe	d the foregoi	ng instrument	, and acknowled	lged the same to be his/her free act
and de	ച				

		Aug.
Date	-	Witness
April 17 2001	·	lle Kualia
Date		Marie Camille ZWAHLEN
	<del>-</del>	, 2001, before me appeared
		and known to me to be the person of that name
	nstrument, and acl	knowledged the same to be his/her free act and
deed.		/W// <b>,</b>
17.4.2001		My
Date		Witness